



JAN 12 2007 11:26AM

HOWREY SIMON ARNOLD &amp; WHITE, LLP

IAP15 Rec'd PCT/PTO 12 JAN 2007

NO. 3128 P. 7

PTO-1390 (Rev. 02-2005)

Oved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		<b>ATTORNEY'S DOCKET NUMBER</b> 11134.0009.PCUS00
		<b>U.S. APPLICATION NO. (If known, see 37 CFR 1.5)</b> 10/511,374
<b>INTERNATIONAL APPLICATION NO.</b> PCT/AU02/001642	<b>INTERNATIONAL FILING DATE</b> 5 December 2002	<b>PRIORITY DATE CLAIMED</b> 18 April 2002
<b>TITLE OF INVENTION</b> IMPROVEMENTS IN A PROCESS AND APPARATUS FOR THE PRODUCTION OF PAPER PRODUCTS		
<b>APPLICANT(S) FOR DO/EO/US</b> DONALD BARRY WEBB		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.		
2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.		
3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (8) and (21) indicated below.		
4. <input type="checkbox"/> The US has been elected (Article 31).		
5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).		
6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).		
7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made.		
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).		
10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 20 below concern document(s) or information included:		
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. <input type="checkbox"/> A preliminary amendment.		
14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.		
15. <input type="checkbox"/> A substitute specification.		
16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.		
17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.		
18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).		
19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		
20. <input checked="" type="checkbox"/> Other items or information: Return receipt postcard		


This collection of information is required by 37 CFR 1.414 and 1.481-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/511,374		INTERNATIONAL APPLICATION NO. PCT/AU02/01642		ATTORNEY'S DOCKET NUMBER 11134.0009.PCUS00	
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. <input type="checkbox"/> Basic national fee..... \$300				\$	
22. <input type="checkbox"/> Examination fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 All other situations..... \$200				\$	
23. <input type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500				\$	
TOTAL OF 21, 22 and 23 =				\$	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$ 0 (previously paid)	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	- 20 =		x \$ 50	\$	
Independent claims	- 3 =		x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =				\$	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/3.					
SUBTOTAL =				\$ 0	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$ 0	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$40.00	
TOTAL FEES ENCLOSED =				\$40.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$ 40.00
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>01-2508*</u> in the amount of \$ <u>40.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. *Order No. 11134.0009.PCUS00</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>01-2508*</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Customer No. 23369 (Howrey Simon Arnold & White, LLP)					
 SIGNATURE Ira D. Finkelstein NAME 44,680 REGISTRATION NUMBER					

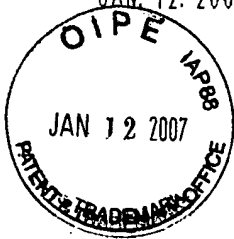
FORM PTO-1390 (REV. 02-2005)

Page 2 of 2

JAN 12. 2007 11:24AM

HOWREY SIMON ARNOLD & WHITE, LLP

NO. 3128 P. 1



**HOWREY** LLP

1111 LOUISIANA, 25TH FLOOR  
HOUSTON, TEXAS 77002-5242  
PHONE: 713.787.1400 • FAX: 713.787.1440

**FACSIMILE COVER SHEET**

**DATE:** January 12, 2007

**TO:** **NAME:** Assignment Services Branch  
**COMPANY:** USPTO  
**FAX NUMBER:** 571.374.8400 **PHONE NUMBER:** \_\_\_\_\_  
**CITY:** Alexandria, VA

**FROM:** **NAME:** Michelle Replogle  
**DIRECT DIAL NUMBER:** 713.787.1535 **USER ID:** 2346

**NUMBER OF PAGES, INCLUDING COVER:** 18 **CHARGE NUMBER:** 11134.0009.PCUS00

☐ **ORIGINAL WILL FOLLOW VIA:**

☐ **REGULAR MAIL**

☐ **OVERNIGHT DELIVERY**

☐ **HAND DELIVERY**

☐ **OTHER:** \_\_\_\_\_

☒ **ORIGINAL WILL NOT FOLLOW**

**SUPPLEMENTAL MESSAGE:**

Serial No.: 10/511,374  
Filed: October 14, 2004  
Entitled: "Improvements in a Process and Apparatus for the Production of Paper Products"  
  
Re: Request for Corrected Notice of Recordation  
  
Michelle C. Replogle  
Reg. No. 54,394  
Tel.: 713.787.1535

THE INFORMATION CONTAINED IN THIS TRANSMISSION IS PRIVILEGED AND CONFIDENTIAL. IT IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.



CERTIFICATE OF FACSIMILE TRANSMISSION	
I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office at 571.374.8400 on date below:	
<u>1-12-07</u> Date	<u>[Signature]</u> Signature

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

**Donald B. Webb**

Serial No.: 10/511,374

Filed: October 14, 2004

For: **Improvements in a Process and  
Apparatus for the Production of Paper  
Products**

Group Art Unit: 1731

Examiner: Not yet assigned

Atty. Dkt. No.: 11134.0009.PCUS00

**REQUEST FOR CORRECTED NOTICE OF RECORDATION****Mail Stop: Assignment Services Branch**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Via Fax: 571.273.8300

Sir:

A corrected Notice of Recordation of Assignment Document is hereby requested in view of the errors which appear in the original. In accordance with your previous instructions, a Corrected Filing Receipt has now been received correcting the title of the application. Specifically, the title should read "IMPROVEMENTS IN A PROCESS AND APPARATUS FOR THE PRODUCTION OF PAPER PRODUCTS," not "PROCESS AND APPARATUS FOR THE PRODUCTION OF PAPER PRODUCTS." For the convenience of the Patent and

Trademark Office, attached is a photocopy of the original notice on which the errors have been noted in red, a copy of the assignment document as originally filed, a copy of the application data sheet as submitted and a copy of the Corrected Filing Receipt. All of which support the fact that the title should read as stated above.

Because the errors are not due to any error by Applicants, no fee is believed to be due in connection with the filing of this document. See MPEP § 323.01. However, should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, the Commissioner is authorized to deduct said fees from Deposit Account No. 08-3038/11134.0009.PCUS00.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,



Michelle C. Replogle  
Reg. No. 54,394

Customer No. 23369  
HOWREY LLP  
2941 Fairview Park Drive, Box 7  
Falls Church, VA 22042  
(713) 787-1400

Date:

1/12/07